

The Depository Trust & Clearing Corporation

OASYS™ TRADE MATCH

OVERVIEW

The U.S. domestic OASYS™ Trade Match solution is a real-time central trade matching platform for equities and fixed income securities. It helps investment managers streamline internal trade processing by automating and accelerating trade allocation, comparison and affirmation. All trade counterparties benefit from the reduced time and risk the OASYS Trade Match solution delivers.

BENEFITS

- Accelerates trade management and reduces risk of trade failure by providing a single point of access
- Automates trade affirmations, thus streamlining the trade process
- Facilitates efficient trade notification by automatically distributing matched and matched-affirmed confirmations to all trade counterparties through the TradeSuite ID™ service

FEATURES

- Exception processing — by focusing exclusively on unmatched trades, investment managers can quickly identify reasons for matching failures and take action
- Enrichment with accurate SSIs — the OASYS Trade Match service offers enrichment from the ALERT® platform to minimize manual input and optimize allocation matching
- User customization — users can specify matching criteria and tolerances

HOW THE OASYS-TRADEMATCH™ SERVICE WORKS

The OASYS Trade Match service matches the investment managers' OASYS™ allocations with the TradeSuite ID confirms entered by executing broker/dealers. The solution helps to improve same day affirmation and settlement rates.

INTEGRATED SERVICES

To receive the full benefits of the OASYS Trade Match service, investment managers can use the ALERT solution, the largest and most compliant web-based global database for the communication of accurate and compliant settlement and account instructions. The ALERT platform can be used to derive settlement information associated with the agent ID number and agent internal account number on OASYS allocations as well as to match enrichment capabilities.

The OASYS Near Match solution, a U.S. domestic exception-processing tool for investment managers, automatically groups and aligns unmatched allocations and confirmations, highlighting the matching discrepancies that cause trade exceptions.

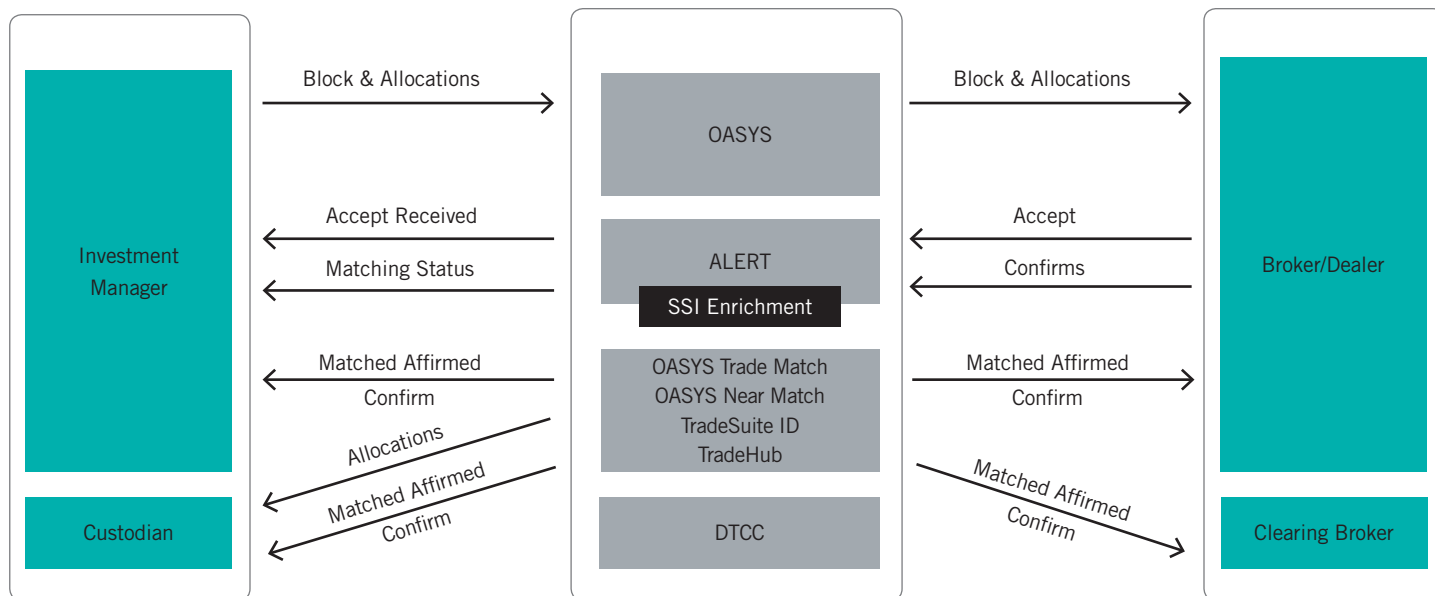


*Changes to allocations via Near Match will not be reflected in OASYS™.

MATCHING CRITERIA

Mandatory Match Fields: Institution ID Number, Broker/Dealer ID Number or Clearing Broker/Dealer ID Number, Agent ID Number, Buy/Sell, Security Identifier, Share/Face Value, Net Settlement Amount, Settlement Date, Settlement Location

Optional Match Fields: Agent Internal Account Number, Trade Price, Trade Date, Principal Amount, Interest, Fees, Commission, Country Tax, Research Amount, Other Charges, and Security Type



FOR MORE INFORMATION

Please email us at solutions@dtcc.com
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